

GP 12167  
HJM  
BT

10-21-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO. 062834-0156

Applicant: George H. HOFFMAN et al.

Title: SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT FOR  
EVALUATING THE SUCCESS OF A PROMOTION IN A SUPPLY  
CHAIN MANAGEMENT FRAMEWORK

Appl. No.: 09/816,434

Filing Date: 03/23/2001

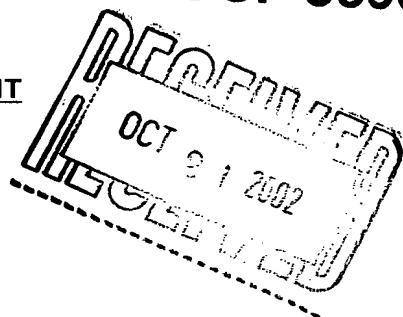
Examiner: Unassigned

Art Unit: 2167

RECEIVED

OCT 17 2002

GROUP 3600



INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §1.56

Commissioner for Patents  
Box PATENT APPLICATION  
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

**TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits, and within three (3) months of the mailing date of the foreign search report.

**RELEVANCE OF EACH DOCUMENT**

The foregoing documents were cited in the International Search Report in Applicants' International Application PCT/US02/08287. A copy of the International Search Report setting forth the portion of each reference considered relevant by the examiner is attached.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

  
Reg. No. 41514

William T. Ellis  
Attorney for Applicant  
Registration No. 26,874

October 15, 2002

FOLEY & LARDNER  
Customer Number: 22428



22428

PATENT TRADEMARK OFFICE

Telephone: (202) 672-5485  
Facsimile: (202) 672-5399

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

1-5 2002 525 Substitute for Form 1449B/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

Date Submitted: October 15, 2002  
*(use as many sheets as necessary)*

Sheet 1 of 1

<i><b>Complete If Known</b></i>	
<b>Application Number</b>	09/816,434
<b>Filing Date</b>	03/23/2001
<b>First Named Inventor</b>	George H. HOFFMAN et al.
<b>Group Art Unit</b>	2167
<b>Examiner Name</b>	Unassigned
<b>Attorney Docket Number</b>	062834-0156

## **U.S. PATENT DOCUMENTS**

**RECEIVED**

OCT 17 2002

# GROUP 3600

## **FOREIGN PATENT DOCUMENTS**

#### **OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>

**Examiner  
Signature**

*J. R.*

Date  
Considered

9/8/03

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

**5**Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. **6**Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.